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in the United States Patent and Trademark Office

**US Utility Patent Application: 09/841706, filed 04/02/2001**

**Inventor(s): Wendy C. McArdle et. al.**

**Appointed Representative:**

**M. Conrad Huffstutler, #33907**

**PO Box 389**

**Liberty Hill, TX 78642**

**VOX (512) 5150-5151; FAX (512) 778-6080**

**RECEIVED**  
**JAN 04 2002**  
**TECH CENTER 1600/2900**

This communication is in response to:

1,0 PTO Requirement to document all telephone calls with PTO staff and examiners, particularly a telephone conversation of about 5 minutes duration with Ms. Stokes on 25 June 2001 relative to the exact problems reported in this Notice, i.e., the PTO form 0002 Subs was lost inside the PTO and the notice that the drawing margins were not in full compliance with 37 CFR 1.84.

2,0 Notice of Missing Parts 4364 (Form Letter 6201437) dated 19 June 2001. [Regrettably, the name and signature of the Customer Service Center Rep. are not legible.]

1,0 **Telcon Summary Ms. Stokes, 25 Jun 2001.** I explained to Ms. Stokes that I had very carefully prepared and counted each and every group of documents which make up this application. Every sheet of the 61 sheets submitted was itemized on: (a) the PTO Transmittal Form (PTO 0002/Subs) and (b) the PTO Reply Postcard (which was returned to me with no alterations or changes by the PTO). I further explained that my system of measurement is SI units and that I had very carefully measured each drawing to verify that all the margins were correct to the nearest millimeter. Ms. Stokes reported that the PTO has issued a large number of "Missing Parts" notices in the last several months.

1,1 **Application Fee.** The application was submitted with a signed-dated authorization to charge the \$355 fee (small entity) to my PTO Debit Account (11-1300). Ms. Stokes promised to try to find the case file and charge the appropriate application fee.

1,2 **Call Back.** Ms. Stokes promised to call me back in a few days to confirm: (a) the status of my original fee payment authorization and (b) what drawing margins were found to be deficient. I have not received any call-back message from Ms. Stokes on either item.

I have left 3 phone messages re this Notice with the secretary of the Applications Receiving Group on 13 July 2001 and there has been no response to date. I conclude that the application might be lost.

**2,0 Response to "Missing Parts" Notice.**

**2,1 Copy of Duplicate "FEE TRANSMITTAL" Form and Returned PTO Postcard.**

Attached is a copy of my duplicate of the original form. This form was explicitly identified on both the TRANSMITTAL SHEET and the PTO Reply Postcard. A copy of the RETURNED postcard showing no alterations is also included.



Since the initial receiving person did not mark up the postcard showing any missing part, I respectfully submit that at least the fee-payment sheet became lost inside the PTO during the time interval 24 Apr 2001 and 19 Jun 2001 -- a period of approximately 60 days.

**2,2 Request-1.** Please accept the documentation submitted as clear proof that I did submit a proper fee authorization with the application and that no parts were ever missing in the as-submitted application. Please remove the erroneous surcharge-penalty of \$65.00

**2,3 Minimum Drawing Margins.** Enclosed is a substitute duplicate set of drawings with each and every minimum margin having been hand measured to a tolerance of 0.2 mm , i.e., Top=25.0 mm, Left=25.0 mm; Right=15.0 mm and Bottom=10.0 mm. These drawings are exactly the same as the originals except for required margin corrections which were achieved by optical reductions. Appointed Representative affirms, by signature below, that no new matter has been added.

These drawings, like the originals are submitted on smooth, white, high-quality, commercial-type 20 pound (basis weight) American Letter paper, about 217 by 279 mm.

Appointed Representative has carefully reviewed the new Drawing Requirements as posed on the current PTO web page and confirms that each and every required aspect is fully satisfied.

**2,4 Request-2.** Please accept the duplicate set of drawings submitted herewith as a replacement for any non-compliant drawings.

Respectfully submitted,

*M. Conrad Huffstutler #33907*

M. Conrad Huffstutler, #33907

Date *16 July 2001*

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, DC 20231, on *16 July 2001*

*M. Conrad Huffstutler #33907*

Attachments, 4X, 27 sheets total: : Part 2 of the "Notice" - 2 sheets; Copy of PTO Reply postcard and Fee Payment Authorization - 1 sheet; American Letter-size drawing copies with all margins verified - 23 sheets; PTO Reply Postcard - 1 sheet.



JUL 16 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,706	04/24/2001	Wendy C. McArdle	bizapp1

M. Conrad Huffstutler, Jr.  
PO Box 389  
Liberty Hill, TX 78642RECEIVED  
JAN 04 2002  
TECH CENTER 1600/2900

CONFIRMATION NO. 4364

FORMALITIES LETTER



\*OC000000006201437\*

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

16 2001

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020

COPIES  
JUL 19 2001  
& TRADEMARK

0002/PTO U.S. Dept. of Commerce  
Patent & Trademark Office

Complete if Known

Application Number  
Filing date  
First Named Inventor **McArdle, Wendy C.**  
Group Art Unit  
Examiner Name  
Atty. Docket No **bizapp1**

**FEE TRANSMITTAL**  
TOTAL  
AMOUNT OF **\$355.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Acct. No. **11-1300**  
Deposit Acct. Name **MC Huffstutler, Jr**

2. ☐ Payment Enclosed.

(reserved space)

☐ Check  
☐ Money Order  
☐ Other

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Charge the issue fee set in 37 CFR 1.16 at the mailing of Notice of Allowance

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Description		
101	201	355	Utility		355
106	206		Design		
107	207		Plant		
108	208		Reissue		
SUBTOTAL (1) (\$)					<b>\$355.00</b>

2. CLAIMS

	count	extra	fee	Fee Paid
Total Claims	20	0	11	0
Independent Claims	3	0	41	0
Multiple Dep. Claims	0	0	135	0
Large Entity	Small Entity			
Fee Code (\$)	Fee code (\$)	Fee code (\$)	Fee Description	
102	202	41	Ind.claims >3	
103	203	11	Tot.claims>20	
104	204	135	Mul.dep claims	
109	209		Reissue ind.cls>0	
110	210		Reissue cls.>20	
SUBTOTAL (2)				\$0.00

Other fee (specify)  
Other fee (specify)

SUBTOTAL (3)

**\$0.00**

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Description		
105	205		Surcharge-late filing fee or oath		
139	139		Non-English specification		
147	147		For filing a request for reexamination		
112	112		Requesting publication of SIR prior to Examiner action		
113	113		Requesting publication of SIR after Examiner action		
115	215		Extension for response within first month		
116	216		Extension for response within second month		
117	217		Extension for response within third month		
118	218		Extension for response within fourth month		
119	219		Notice of Appeal		
120	220		Filing a brief in support of an appeal		
121	221		Request for oral hearing		
138	138		Petition to institute a public use proceeding		
140	240		Petition to revive unavably abandoned application		
141	241		Petition to revive unintentionally abandoned application		
142	242		Utility issue fee (or reissue)		
143	243		Design issue fee		
144	244		Plant issue fee		
122	122		Petitions to the Commissioner		
126	126		Submission of Information Disclosure Stmt		
581	581		Recording each patent assignment per property (x no properties)		
114	214		Provisional Application filing		

SUBMITTED BY		Complete (if applicable)	
Type or Printed Name:	<b>M. Conrad Huffstutler, Jr.</b>	Reg. Number	<b>33907</b>
Signature	<i>M. Conrad Huffstutler #33907</i>	Deposit Account User ID	<b>11-1300 MC Huffstutler</b>

Express

Commissioner of Patents and Trademarks, Washington, DC 20231, on 24 April 2001

*M. Conrad Huffstutler #33907*

PTO CARD -- PLEASE RETURN BY US MAIL

UTILITY APP: McArdle et al; TITLE: Dynamic ... Browsers

LISTING OF ITEMS SUBMITTED:

- 1,0 App transmittal form (0002-trans) 1 + Agt. Appt 1
  - 2,0 Fee payment auth form (0002-fee) 1
  - 3,0 Inventor declaration (0010 sigs 3 X) 1
  - 4,0 Small entity statement 1
  - 5,0 Assignment forms (3 X) 3
  - 6,0 Agent appointment 1
  - 7,0 Specification, claims, abstract 27 sheets 27
  - 8,0 Informal figures, 23 sheets 23
  - 9,0 Express mail certificate 1
  - 10,0 PTO reply postcard 1
- SUBMITTED 24 Apr 2001 *M. Conrad Huffstutler #33907*

61 sheets total

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231, on 16 July 2001

*M. Conrad Huffstutler #33907*

IC986 U.S. PTO  
09/841706  
04/24/01

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